



Paint condition assessment of civil structures using hyper-spectral imaging

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Abstract

Current practice of paint condition assessment on civil structures typically involves labour-intensive and time-consuming visual inspections. This can be particularly costly for large and complex structures. In this study, hyperspectral imaging and classification techniques are proposed as a method to objectively assess the state of the paint on a civil or other structure. The ultimate objective of the work is to develop a technology that can provide precise and automatic grading of paint condition and assessment of degradation due to age or environmental factors.

Towards this goal, we acquired hyperspectral images of steel surfaces located at both mid-range and short distances on the Sydney Harbour Bridge with an Acousto-Optics Tunable filter (AOTF) hyperspectral camera (consisting of 21 bands in the visible spectrum). We trained a multi-class Support Vector Machine (SVM) classifier to automatically assess the grading of the paint from hyperspectral signatures.

Our results demonstrate that the classifier generates highly accurate assessment of the paint condition in comparison to the judgement of human experts.

1. Introduction

1.1 Paint condition monitoring

Protective coatings are applied to the vast majority, if not all, steel structures located in outside environments. Coatings shield the structure from damaging aspects of the environment including sunlight, humidity, corrosive agents such as salts and windborne abrasives. Without protective coatings the life of steel structures would be severely shortened. It is crucial therefore that the protective coating is intact and working effectively at all times. The most common protective layers are polymer paints. However, polymer paint has a limited lifespan. It degrades as it ages, thus requires repairs and repainting.

An accurate assessment of paint condition allows asset owners to repaint only when necessary and before damage is done to structures due to failure of protective coatings. Thus, it is important to be able to accurately assess paint condition and make informed decisions about repainting at the appropriate time. The improvement in productivity from improved maintenance planning can have a significant financial benefit.

Current assessment practises involve trained inspectors performing visual inspections and the paint and surface condition using a rating system. Several standards are currently in use for the assessment of the condition of protective coatings. These include ASTM D5065 – 13 for aged coatings, ASTM D610 – 08 to check the degree of rusting and ASTM D662 – 93 for evaluating the degree of erosion, those standards are based on visual inspection (ASTM-D662-93 2011, ASTM-D610-08 2012, ASTM-D5065-13 2013). These practises are labour intensive and can be subjective in many cases.

A number of technology based approaches have been proposed for objective assessment of paint and coatings. These include Fourier transform infrared (FTIR) (Gerlock, Smith et al. 1998), scanning electron microscope (SEM) ((Tiong and Clark 2011)), energy dispersive x-ray spectroscopy (EDS), and Auger electron spectroscopy (AES) (Bayer and Zamanzadeh 2004). These techniques are generally based on chemical analysis of samples and require very expensive devices beside a laboratory environment setup in order to obtain results. They are therefore not generally suitable for use in situ by maintenance personnel in the field.

Hyperspectral imaging has been shown to improve the performance of many applications including mineralogical mapping of surface soils and outcrops, biomedical engineering, vegetation, etc. (Goetz 2009). Here, we investigate the use of inexpensive hyperspectral imaging for paint condition assessment. Our motivation is that paint condition degradations (rusting, flaking, and blistering) due to the changes in the chemical composition of the paint can be captured through the hyperspectral signatures.

1.2 Hyperspectral imaging

Conventional colour imaging sensors (“RGB” sensors) operate by interlacing photo-detectors sensitive to the red, green and blue regions of the spectrum on the same sensor array. In contrast with trichromatic sensors, multi-spectral and hyper-spectral imagers store colour information in a higher number of channels, each of which measures the amount of energy emitted within a specific band of wavelengths. Commonly, hyperspectral images are captured and digitised in a discrete form known as a three dimensional image cube, including two spatial dimensions and a spectral one. Fig. 1 illustrates this three-dimensional structure. As shown on the left-hand side, a spectral image is indexed to the pixel location and the central wavelength of each band. In other words, a spectral image can be formalised as a function $\mathcal{L}: \mathbb{R}^3 \mapsto \mathbb{R}$. The irradiance spectrum at an image pixel is the collection of image intensity (irradiance) values at that pixel across the entire wavelength range, as demonstrated on the right-hand side of the figure.

The image intensity values captured by a camera, also known as irradiance values, are dependent on the illumination condition, the object shape and the surface material reflectance. The illumination at each surface location is determined by factors such as illumination intensity, illumination colour and shadow and is possibly spatially varying. The latter term, i.e. the surface material reflectance, is defined as the fraction of light from the illumination source that is reflected from a surface. This quantity is an objective measure of the underlying surface characteristics and is independent of the illumination condition. Due to this property, material reflectance has been employed as a robust feature for material classification.

In hyperspectral imaging, the intrinsic colour of a material is captured by narrow-band spectral filters and resolved into a collection of values corresponding to various wavelengths in the spectrum. These wavelength-index values are referred to as the material reflectance spectrum or material spectral signature. Material spectral signatures have the advantage of being able to discern metamers, i.e. colours with different spectral power distribution but appear to correspond to similar trichromatic responses. Since material reflectance spectra are invariant to the illumination condition, it has attracted growing interests for applications in the areas of material classification and mapping in aerial imaging (Slater and Healey 1997) and terrestrial imaging (Angelopoulou, Molana et al. 2001).

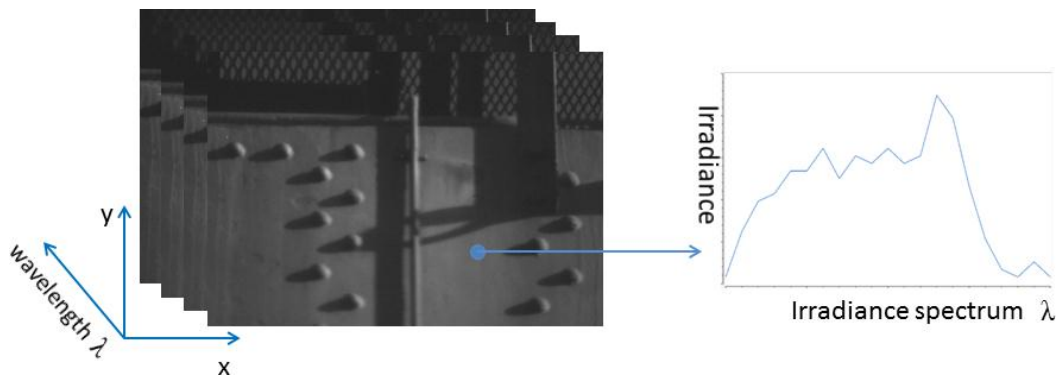


Fig. 1 Left panel: a hyperspectral image is visualised as a data cube with three dimensions spanning both the spatial and spectral domains. Right panel: Intensity values at the same image pixel form the irradiance spectrum at that pixel

1.3 Case study: the Sydney Harbour Bridge

The Sydney Harbour Bridge was opened to the public in 1932 and is one of the most iconic structures in Australia. The bridge plays a critical role in connecting the Sydney CBD with the Northern suburbs of Sydney. The total weight of the steelwork of the bridge, including the arch and approach spans, is 52,800 tonnes. The steel components are joined together by over six million rivets. Steel components on the Sydney Harbour Bridge introduces a very a significant challenge in terms of protection required to prevent corrosion, this is particularly true given the maritime location of the bridge. Therefore, ensuring a high quality protective coating to seal the steel is essential to prevent rusting from occurring. The inspection and replacement of paint is conducted as a regular task of bridge structure maintenance. Being able to accurately predict when repainting will be required would greatly aid maintenance planning and result in significant cost efficiencies particularly in the areas of site access and preparation.

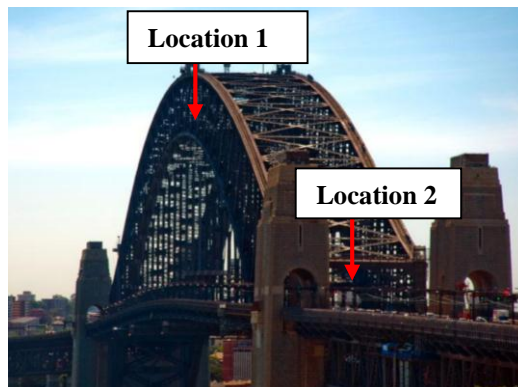


Fig. 2 Data capturing - Location 1: on the top of the lower arch and Location 2: near the bottom of the north pylon (Australia 2010)

Data were collected from two locations on the eastern side of the Sydney Harbour Bridge. The first location was on the top of the lower arch where the short range images were captured. The second location was on the eastern side near the north pylon, in this location the mid-range images were captured (shown in Fig. 2).

A four level rating system is commonly used for assessing civil structures in Australia – other countries and industries adopt similar systems but may have a different number of levels. The four rating levels used by bridge inspectors and in our study are as follows:

- Level 1: the protective coating is generally sound and unbroken.
- Level 2: the protective coating is exhibiting white or red rusting with minor speckles or localised pinhead rusting.
- Level 3: the protective coating is exhibiting speckled white rusting in areas greater than 2% and less than 5% of total surface area, or speckled red rusting in areas greater than 0.5% and less than 5% of total surface area.
- Level 4: the protective coating is no longer effective, with red and white speckled rust in areas larger than 5% of total surface area.

Any given bridge component may have a mixture of different rating levels such as 70% Level 1, 20% Level 2 and 10% Level 3.

2. Data processing: algorithm development

Data were processed in two phases, as illustrated in Fig. 3. In the training phase, a number of images were randomly selected for training and several image regions are labelled with the respective rating levels. Subsequently, the spectral reflectance spectra were extracted, which is invariant to the illumination condition, from the training regions. Taking these reflectance spectra as input, a Support Vector Machine (SVM) (Cristianini and Shawe-Taylor 2000) was trained in order to perform automatic classification of surface condition ratings.

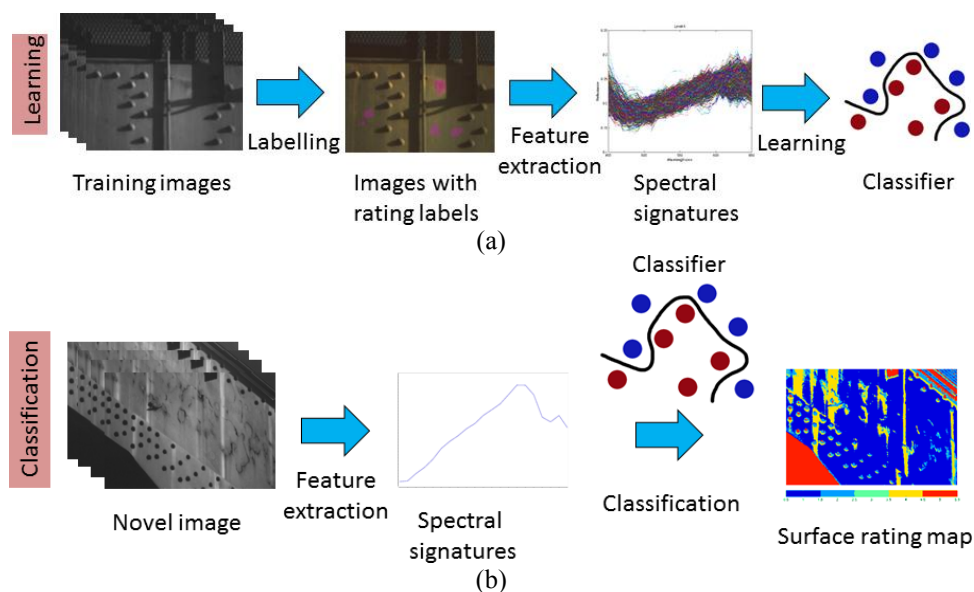


Fig. 3 The process of hyperspectral image analysis for surface condition rating: (a) the (off-line) training phase and (b) in the (online) classification process

In the classification phase, reflectance spectra were first extracted from the image of a novel surface and treated as input to the classifier learned previously. At this point, the classifier assigns a surface rating label to each pixel based on its reflectance spectrum. The aggregation of all the labels in an image yields the proportion of the surface area belonging to each rating category. The classification phase is illustrated in Fig. 3 (b).

2.1 Image region labelling

For the labelling task, a number of images were selected randomly as training data and subsequently were provided to a human expert, e.g. a bridge inspector. To minimise mislabelling, the human expert was present at the collection site to make an observation of the actual surface being imaged. The labelling was performed shortly after the acquisition of each training image. At this point, the human expert selected pixel regions and assigned a label corresponding to their condition ratings, according to his observation of the actual surface.

To facilitate this task, we have developed a graphical user interface for managing training images and rating labels. This tool is part of the Scyllarus hyperspectral image processing pipeline, see <http://scyllarus.research.nicta.com.au>.

2.2 Extracting material reflectance

As mentioned earlier, reflectance is a material intrinsic property that is invariant to the illumination variation. We depart from an image formation model that decomposes a hyper-spectral image into the illumination power spectrum and the underlying surface material reflectance. Here, we assume that the scene is uniformly illuminated by a single light source and the irradiance arriving at the camera sensor is proportional to the scene radiance.

We denote the radiance reflected from a scene location u and a wavelength λ as $I(u, \lambda)$. In addition, $L(\lambda)$ denotes the (spatially uniform) illumination power spectrum and $S(u, \lambda)$ is the surface reflectance function at the above location and wavelength. The relationship between the scene radiance and the latter two terms is mathematically expressed as follows

$$I(u, \lambda) = L(\lambda)S(u, \lambda) \quad (1)$$

The matter of separating the material reflectance from the illumination power spectrum given the irradiance image is closely related to the large body of works in computational colour constancy. To this end, we leverage a simple and widely adopted approach known as the Grey-World method (Buchsbbaum 1980). This method relies on the hypothesis that the spatial average of surface reflectances in a scene is achromatic, i.e. the illuminant spectrum can be estimated by taking the average of the sensor responses in the image. Using the Grey-World method, the illumination power spectrum is estimated as

$$\hat{L}(\lambda) = \langle I(u, \lambda) \rangle_u, \quad (2)$$

where $\langle \cdot \rangle_u$ is an abbreviation for the average of the argument over all the pixel locations.

With this estimate of the illumination power spectrum, the spectral reflectance can be computed as

$$S(u, \lambda) = \frac{I(u, \lambda)}{\hat{L}(\lambda)} \quad (3)$$

2.3 Training a classifier

In the previous section, we have obtained a reflectance spectrum $S_u \triangleq [S(u, \lambda_1), S(u, \lambda_2) \dots S(u, \lambda_N)]$ per pixel u assuming that the input hyper-spectral images are sampled at N wavelengths $\lambda_i, j = 1, 2, \dots, N$. At the training phase, we take input from these reflectance

spectra and their associated labels, which have been provided by the human expert. Here, we denote the label associated with the spectrum S_u as y_u , where $y_u \in \{1, \dots, K\}$ and K is the number of condition rating levels. At this point, we tackle this multi-class classification problem by adopting the “one-against-one” approach in which we perform binary classification for every pair of classes. In other words, the approach constructs $K(K - 1)/2$ binary classifiers in total. For a pair of p -th and q -th classes, the optimal Support Vector Machine (SVM) binary classifier (Cristianini and Shawe-Taylor 2000) is represented as a separating hyperplane that maximises the margin between samples in the two classes. Formally, we find this classifier by solving the following problem

$$\begin{aligned} & \min_{w^{pq}, b^{pq}, \xi^{pq}} \frac{1}{2} \|w^{pq}\|^2 + C \sum_u \xi_u^{pq} \\ & \text{subject to } (w^{pq})^T \phi(S_u) + b^{pq} \geq 1 - \xi_u^{pq}, \text{ if } y_u = p, \\ & \text{and } (w^{pq})^T \phi(S_u) + b^{pq} \geq -1 + \xi_u^{pq}, \text{ if } y_u = q, \end{aligned} \quad (4)$$

where w^{pq} is the normal vector of the separating hyperplane between the classes, $\|\cdot\|$ denotes the L^2 -norm of a vector, b^{pq} is an offset from the origin, ξ_u^{pq} is a non-negative slack variable for each pixel u and ξ^{pq} is a vector formed by concatenating the slack variables over all the training pixels in the mentioned classes.

In the case where the classes are non-separable, the slack variables accounts for margin violations caused by training samples. The total error due to margin violations, i.e. $\sum_u \xi_u^{pq}$, is weighted by a factor C . In the formulation above, we note that the function $\phi: \mathbb{R}^N \mapsto \mathcal{H}$ maps the reflectance spectra $S_u \in \mathbb{R}^N$ to a high-dimensional space \mathcal{H} , in which the training samples of the p -th and q -th classes are separable.

The above problem is convex and can be reformulated in the dual form as follows (Boyd and Vandenberghe 2004)

$$\begin{aligned} & \min_{\alpha^{pq}} \frac{1}{2} (\alpha^{pq})^T Q (\alpha^{pq}) - e^T \alpha^{pq} \\ & \text{subject to } (y^{pq})^T \alpha^{pq} = 0, \\ & \quad 0 \leq \alpha_u^{pq} \leq C, \end{aligned} \quad (5)$$

for pixel u in the p -th and q -th classes where e is the vector of all ones.

In the dual form, we aim to solve for the dual variable α whose element α^{pq} is indexed to a training pixel u in the p -th and q -th classes. Here, y_u^{pq} is a vector indexed to the training pixel, where $y_u^{pq} = 1$ if $y_u = p$ and $y_u^{pq} = -1$ if $y_u = q$. We also denote the kernel function $G: \mathbb{R}^N \times \mathbb{R}^N \mapsto \mathbb{R}$ for each pair of pixels u and v as $G(u, v) = \phi(u)^T \phi(v)$. In addition, Q is an $M \times M$ matrix with rows and columns indexed to the training pixels in the p -th and q -th classes and M is the number of training samples in both classes. Each element of Q is related to the kernel function by $Q_{u,v} = y_u^{pq} y_v^{pq} G(u, v) = y_u^{pq} y_v^{pq} \phi(u)^T \phi(v)$. In our experiment, we employ a linear kernel for the SVM classifier.

Eq. (5) is solved using the Sequential Minimal Optimization (SMO) approach implemented by the LibSVM software library (Chang and Lin 2011). By exploiting the fact that the original problem in Eq. (5) can be decomposed into small sub-problems, the SMO approach is able to deal with its scale efficiently.

2.4 Classification of surface conditions

The above section describes the process of training binary classifiers to distinguish every pairs of condition ratings. Given the classifier for the p -th and q -th condition ratings, the binary classification of a test reflectance spectrum S_x is determined by the following decision function

$$\text{sgn}(\sum y_u^{pq} y_v^{pq} G(u, x)), \quad (6)$$

where the $\text{sgn}(\cdot)$ denotes the sign function.

In order to perform multi-class classification, we adopt a voting strategy, where each binary classifier contributes a vote on the condition rating (class) of the test spectrum S_x . In the end, the votes of all the classifiers are aggregated and the input sample is assigned a condition rating with the maximum number of votes.

3. Experimental results and discussion

3.1 Image dataset

To validate our classification approach, two locations on the bridge were examined as described in Section 1.3. At each location, we collected a set of 14 images of surfaces exhibiting various degrees of corrosion, corresponding to the four rating levels described in Section 2.

We aim to analyse the variation of classification results with respect to the acquisition distance, i.e. the distance from the camera and the surface to be imaged. For this purpose, the imaging conditions at these two locations differ in the range of acquisition distance and the acquisition time. Specifically, the distance was less than 5 metres at the former location and between 10 and 40 metres at the latter one. Hence, for convenience, we name these two data sets as the short-range and mid-range image sets. In addition, we deliberately chose different acquisition times for the two sets, with the first one acquired in the morning and the latter one in the afternoon.

The acquisition apparatus consists of a hyper-spectral camera equipped with an Acousto-Optics Tunable Filter (AOTF). The filter selects wavelengths using a quartz crystal attached to a transducer. When a radio frequency (RF) acoustic wave is applied to the transducer, it causes the compression and relaxation of an optically anisotropic crystal (Denes, Gottlieb et al. 1998). As a consequence, this mechanism tunes the refractive index of the crystal, creates diffraction and separates the broad spectrum of incident light into different bands of wavelengths.

3.2 Classification results

In this section we aim to verify the consistency of classification performance across the short-range and mid-range distances and the illumination variation throughout the day. For this purpose, we randomly selected four images from each of the short-range and mid-range image sets. As described in Section 2.2, reflectance spectra were extracted from the selected images and normalised to unit power. We then form the training data from the reflectance spectra of the labelled regions in the selected images. It is worth mentioning that, so far, the training data only concerns the example surfaces with one of the rating levels in our study. However, the data has not included examples of surfaces not falling into any of these categories. To gather prior knowledge of these unseen

categories, we have added training data for a “background” class by randomly selecting image regions that correspond to sky areas, shadows etc. With this additional class, the background regions would be distinguished from those with the rating levels of interest. Therefore, the data in these regions would not interfere with the surface condition rating results.

In Fig. 4, we present the training reflectance spectra from the mid-range images for the four rating levels in consideration. Here, we note that the mean reflectance spectrum for each rating level exhibits a distinct trend of spectral variation. Furthermore, when comparing two set of spectra belonging to each pair of rating levels (except level 3 and 4), we notice a significant difference in the reflectance value over a number of bands, i.e. the difference between the means is above one standard deviation of each set. This observation hints at the use of reflectance spectra for classifying surfaces into condition rating levels.

With the above training data, we trained a soft-margin Support Vector Machine (SVM) classifier with a linear kernel. As shown in Eq. (4), this classifier is parameterised by the weight C of the slack variables ξ_u^{pq} . We searched for the optimal C value within the range of $[1; 100]$ by a five-fold cross validation procedure. After training the SVM classifier with this optimal parameter value, we employed it to classify the reflectance spectrum at every pixel in novel test images.

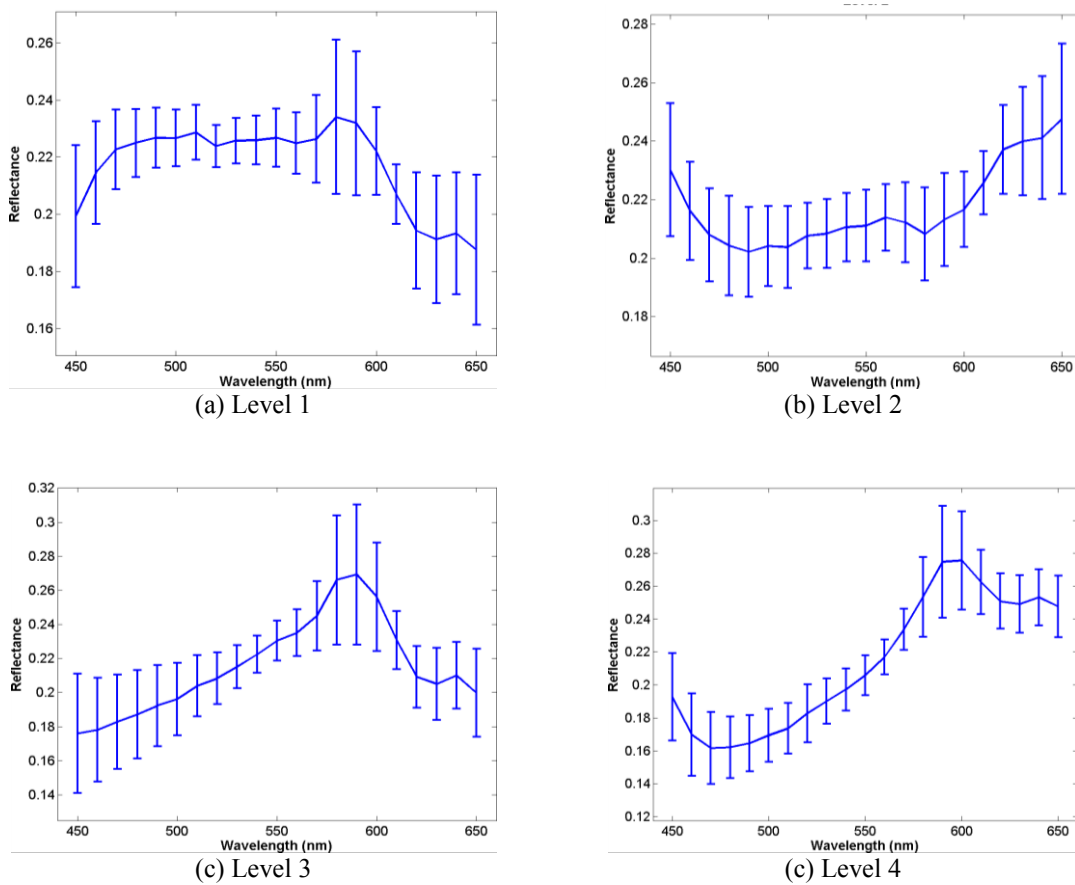


Fig. 4 Means and standard deviations of the training reflectance spectra belonging to surface rating levels between 1 and 4

In our experiments, we selected four mid-range images and employed data from their labelled regions as input for training an SVM classifier. To quantify the accuracy of this classifier, we compare the predicted rating levels in the remaining images with the ground truth given by the human expert, at image regions where the expert labels are available. We then report the classification accuracy with respect to the ground truth labels in terms of the confusion matrix between the rating levels. In Table 1 and Table 2, we report the classification accuracy for each class and the overall classification rate for both the short-range and mid-range images. The diagonal elements show the percentages of pixels correctly classified for each class, whereas the off-diagonal ones show the percentages of pixels misclassified into a class other than the ground truth one. Here, the rows correspond to the ground truth labels and the columns correspond to the predicted labels, respectively. We note that, since no pixels were labelled with a rating level of 2 in the short-range dataset, the classification rate for rating level 2 is not reported in Table 1.

Table 1 Classification of pixels in the short-range images into rating levels.

Classified \ Actual	Level 1	Level 2	Level 3	Level 4	Background
Level 1	57.87	32.78	0.15	0.71	8.48
Level 2	-	-	-	-	-
Level 3	0.07	31.1	0.68	68.14	0.005
Level 4	0.05	9.98	2.14	76.72	12.10
Background	3.43	1.72	1.47	0.86	92.52
Overall	75.19				

Table 2 Classification of pixels in the mid-range images into rating levels.

Classified \ Actual	Level 1	Level 2	Level 3	Level 4	Background
Level 1	90.37	5.82	3.72	0.06	0.02
Level 2	6.75	84.40	1.14	5.41	2.30
Level 3	16.77	9.21	49.66	24.33	0.01
Level 4	0.02	0.66	41.99	57.11	0.22
Background	5.42	1.41	0.04	1.80	91.32
Overall	85.54				

For both the image sets, the background class is segmented with a high level of accuracy. In addition, the pixels of level 1 in the mid-range image set are recognised reasonably well. However, a significant proportion (32.78%) of level-1 pixels in the short-range images is misclassified as belonging to level 2. Furthermore, it is consistently observed that there is a high level of confusion between levels 3 and 4 in both image sets. This confusion can be explained by the fact that the training reflectance spectra for these two levels exhibit similar variations across wavelengths, as shown in Fig. 4. This suggests that more training data is needed for the fine-grained classification between intact coating and minor rust, and between the two most severe levels of corrosion. Despite this confusion, the classifier is able to achieve reasonable overall classification rates of 75.19% and 85.54% for the short-range and mid-range images, respectively.

4. Conclusions

In this study, we have explored the effectiveness of hyperspectral imaging in the assessment of paint condition on the iconic Sydney Harbour Bridge. A multi-class Support Vector Machine

classifier was developed for this purpose. We have investigated the classification performance on short-range and mid-range images captured on two different locations on the bridge. Based on that the following conclusions were drawn:

- For both short and mid-range images, the “background” class, which consists of areas not falling into the four rating levels in our study, was segmented from the other classes with a high level of accuracy (more than 90%).
- The classification of level-1 and level-2 regions in mid-range images is also effective (with nearly 85% or more), given the use of training data from the same range.
- There is still some degree of confusion between level 1 and 2, and level 3 and 4.

The overall classification accuracy using the developed classifier has demonstrated a potential application of hyper-spectral imaging to paint condition assessment.

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